

Subject: MAC Committee – Security & Compliance

When: January 10, 2018

Committee Chair: Denise Pappu (Wells Fargo) & TJ Arrowsmith

Attendees:

VHDA:

- Nolley, Nina
- Gayle, Melissa

AMPF:

- Hughes, Nancy (nancy.hughes@ampf.com)

McCracken:

- Kim Cooper (kim.cooper@mccrackenfs.com)
- Shari Hartwell-Cook (Shari.Hartwell-Cook@McCrackenFS.com)
- Karla Ferguson (Karla.Ferguson@McCrackenFS.com)
- Karen Peterpaul (Karen.peterpaul@mccrackenfs.com)

Wells Fargo:

- Loo, Donna <Donna.Loo@wellsfargo.com>
- Koh, Raechel (CMS) <Raechel.Koh@wellsfargo.com>

Astoria:

- jrohrssen@astoriabank.com
- afigeroux@astoriabank.com

Berkadia:

- Rodney.Washington@berkadia.com

Columbia:

- denice.dosh@columbiathreadneedle.com

HomeStreet:

- Jeannie Farlow (no longer with Homestreet)
- debra.kirk@homestreet.com
- jenny.mcmillan@homestreet.com
- Katherine Prewitt <Katherine.Prewitt@homestreet.com>
- Jacob Wolf jacob.wolf@homestreet.com

Trimont Real Estate Advisors:

- horrow@trimontrea.com
- smonroe@trimontrea.com

Red Capital:

- Hauck, Christopher T. <CTHauck@redcapitalgroup.com>

Key Bank:

- Edwards, Penny S <Penny_S_Edwards@Keybank.com>

CBRE:

- Mcentee, Pat @ CBRE Loan Services <pat.mcentee@cbre.com>

Fannie Mae:

- Doug Higgs

Discussion Items

- IRS Reporting – Details sent to MFS in Dec 2017 by Wells Fargo
 - See IRS Reporting Requirements – MAC Version.pdf posted on the MAC Website.
- Block TIN on CS and Portal
 - Only users with access can view
 - Heightened need to secure sensitive data
- CS Security Changes
 - Show where individuals are different than group – reporting options?
 - System Control – block individuals from being setup differently than group settings
 - Borrower Inquiry needs option to delete
 - No way to remove id once setup (customer side)
 - Need other fields in to house employee id or other data for reporting purposes
 - *Allow delete/inactive/change roles with edits if attached to processes (Heidi)*
 - *Role portal – is there a job?*
 - *If role no longer needed or accidentally need a way to inactive/change/delete*
 - *If you have a closed task – inactive so can't be used again*
 - *Include a way to exclude or identify not usable for new assignment*
 - *Miss spell needs fix – so need option to change/edit*
 - *Delete would be for adds in error and not used on any tasks*
 - *Housekeeping so we don't want others*
 - *Remove duplicates that were created*
 - *Denice (Columbia) deleting/releasing a user from a Role. The Org chart release was missed prior to the iSeries ID being deleted and unlike CS where we can still remove the security, if tasks are done out of sequence, we are not able to unlink the name/role*
 - Option to do security in portal will be tied to existing tables and create one stop user experience – allow to do in CS or Portal

- File Maintenance
 - Widget/Process/Rule
 - 10 critical fields
 - Need to provide proof of review to auditors
 - Human language for codes
 - Link to processes in portal

Meeting Minutes:

- IRS Reporting – Details sent to MFS in Dec 2017 by Wells Fargo
 - Denise shared the outline provided MFS for Wells needs for vendor changes. Many of the items were identified by other customers
- Block TIN on CS and Portal - **Priority = Low**
 - Only users with access can view
 - Heightened need to secure sensitive data
 - SSN vs public recorded document
 - If masked will help with audit
 - Everyone felt this was not high priority since they have few SSN numbers stored.
- CS Security Changes
 - Show where individuals are different than group – reporting options? – **Nice to have Priority = Low**
 - System Control – block individuals from being setup differently than group settings
 - Everyone felt they can use reports for now to manage difference. Other priorities higher than this one they would like MFS to work on
 - Borrower Inquiry needs option to delete – **Priority = Low**
 - No way to remove id once setup (customer side)
 - You can inactive, or take away profile
 - Need other fields in to house employee id or other data for reporting purposes – **Priority = High**
 - Employee id, alphanumeric, size 10
 - Merge with User Information Screen
 - Job Title
 - Info 1, size 30 alphanumeric
 - Info 2, size 20 alphanumeric
 - Add other fields that identify the “people” (like servicer they are tied to those fields for letters, reports)
 - Asset Manager
 - Office
 - Etc
 - Make sure the fields are available in Write Track
 - Expense Limits to be included
 - **Want to have that one stop shop for user setup**
 - Allow delete/inactive/change roles with edits if attached to processes (Heidi)
 - Role portal – is there a job?

